



## ATTACHMENT 5 - GENERAL CERTIFICATIONS FORM

**PART I** - Pursuant to Section 11.4 of the JBE Standard Agreement, “**11.4 Licenses and Permits. Contractor shall obtain and keep current all necessary licenses, approvals, permits and authorizations required by applicable law for the performance of the Services or the delivery of the Goods. Contractor will be responsible for all fees and taxes associated with obtaining such licenses, approvals, permits and authorizations, and for any fines and penalties arising from its noncompliance with any applicable law**”, JBE hereby is requesting the General Certifications Form to be completed as part of the solicitation process.

<b>Legal Name of the Business Entity:</b>	
<b>DBA (If any):</b>	
<b>1. Permits/Licenses/Certificates:<sup>1</sup></b>	
California Secretary of State Number:	
Contractor License Number:	
Seller's Permit Number:	
NOTE: Provide a copy of either (a) a California seller's permit issued under Revenue and Taxation Code section 6066 et seq. or (b) a certificate of registration issued under Revenue and Taxation Code section 6226.	
Department of Industrial Relations Registration Number:	
<b>2. Small Business:</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No (NOTE: Please provide valid certification below if checked “YES”)
Small Business Certification Type:	
Small Business Certification Number:	
<b>3. Disabled Veteran Business Enterprise (DVBE):</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No
If Yes, please complete Attachment 7: Disabled Veterans Business Enterprise Declaration.	

<sup>1</sup> In general, this column 1- **Permits/Licenses/Certificates** refers to inputting a business license that is a permit from a local government allowing you to legally operate a business in its jurisdiction. Business Type, for instance, could be Individual, LLC or Corporations. “Permits/Licenses/Certificates #” could be the TIN # for business and Social Security # for Individual.



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**PART II** - Check the box below, if agreed, and sign this attachment. Please note that the JBE will reject a proposal from a Proposer that does not indicate acceptance of these clauses.

**Conflict of Interest.** Proposer has no interest that would constitute a conflict of interest under California Public Contract Code (PCC) sections 10365.5, 10410 or 10411; Government Code sections 1090 et seq. or 87100 et seq.; or California Rules of Court, rule 10.103 or 10.104, which restrict employees and former employees from contracting with judicial branch entities.

**Suspension or Debarment.** Proposer certifies that neither Proposer nor any of Proposer's intended subcontractors is on the California Department of General Services' list of firms and persons that have been suspended or debarred from contracting with the state because of a violation of PCC 10115.10, regarding disabled veteran business enterprises.

**Tax Delinquency.** Proposer certifies that it is not on either (i) the California Franchise Tax Board's list of 500 largest state income tax delinquencies, or (ii) the California Board of Equalization's list of 500 largest delinquent sales and use tax accounts.

**Conflict Minerals.** Proposer certifies that either (i) it is not a scrutinized company as defined in PCC 10490(b), or (ii) the goods or services the Proposer would provide to the JBE are not related to products or services that are the reason the Proposer must comply with Section 13(p) of the Securities Exchange Act of 1934. (Note: PCC 10490(b) defines a "scrutinized company" as "a person that has been found to be in violation of Section 13(p) of the Securities Exchange Act of 1934 by final judgment or settlement entered in a civil or administrative action brought by the Securities and Exchange Commission and the person has not remedied or cured the violation in a manner accepted by the commission on or before final judgment or settlement.")

☐ Check box to indicate acceptance of the clauses above and confirm that the information provided is accurate and up to date.

Company: \_\_\_\_\_

Independent Contractor: \_\_\_\_\_

BY (Authorized Signature) 
PRINTED NAME OF PERSON SIGNING
TITLE OF PERSON SIGNING



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### **PART III - DARFUR CONTRACTING ACT CERTIFICATION**

Pursuant to Public Contract Code (PCC) section 10478, if a proposer currently or within the previous three years has had business activities or other operations outside of the United States, it must either (i) certify that it is not a “scrutinized company” as defined in PCC 10476, or (ii) receive written permission from the JBE to submit a proposal.

To submit a proposal to the JBE, the proposer must insert its company name and Federal ID Number below and complete **ONLY ONE** of the following three paragraphs. To complete paragraph 1 or 2, simply check the corresponding box. To complete paragraph 3, check the corresponding box **and** complete the certification for paragraph 3.

<i>Company Name (Printed)</i>	<i>Federal ID Number</i>
<i>Printed Name and Title of Person Checking Box (for paragraph 1 or 2 below)</i>	

- ☐ 1. We do not currently have, and we have not had within the previous three years, business activities or other operations outside of the United States.

***OR***

- ☐ 2. We are a “scrutinized company” as defined in PCC 10476, but we have received written permission from the JBE to submit a proposal pursuant to PCC 10477(b). *A copy of the written permission from the JBE is included with our proposal.*

***OR***

- ☐ 3. We currently have, or we have had within the previous three years, business activities or other operations outside of the United States, but we **certify below** that we are not a “scrutinized company” as defined in PCC 10476.

### **CERTIFICATION FOR PARAGRAPH 3:**

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY, that I am duly authorized to legally bind the proposer to the clause in paragraph 3. This certification is made under the laws of the State of California.

<i>Company Name (Printed)</i>	<i>Date Executed</i>
<i>Federal ID Number</i>	
<i>Printed Name and Title of Person Signing</i>	
<i>By (Authorized Signature)</i>	<i>Executed in the County of _____</i> <i>in the State of _____</i>